

## RESEARCH ARTICLE

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# Inter-annual variability in snow cover depletion patterns and atmospheric circulation indices in the Upper Irtysh basin, Central Asia

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## Abstract

The Irtysh River is the main water resource of Eastern Kazakhstan and its upper basin is severely affected by spring floods each year, primarily as a result of snowmelt. Knowledge of the large-scale processes that influence the timing of these snow-induced floods is currently lacking, but critical for the management of water resources in the area. In this study, we evaluated the variability in winter–spring snow cover in five major sub-basins of the Upper Irtysh basin between 2000 and 2017 as a possible explanatory factor of spring flood events, assessing the time of peak snow cover depletion rate and snow cover disappearance from the moderate-resolution imaging spectroradiometer (MODIS) MOD10A2 data set. We found that on average, peak snow cover retreat occurs between 22 March and 14 April depending on the basin, with large interannual variations but no clear trend over the MODIS period, while our comparative analysis of longer-term snow cover extent from the National Oceanic and Atmospheric Administration Climate Data Record data set suggests a shift to earlier snow cover disappearance since the 1970s. In contrast, the annual peak snow cover depletion rate displays a weak increasing trend over the study period and exceeded 5,900 km<sup>2</sup>/day in 2017. The timing of snow disappearance in spring shows significant correlations of up to 0.82 for the largest basin with winter indices of the Arctic Oscillation (AO) over the region. The primary driver is the impact of the large-scale pressure anomalies upon the mean spring (MAM) air temperatures and resultant timing of snow cover disappearance, particularly at elevations 500–2,000 m above sea level. This suggests a lagged effect of this atmospheric circulation pattern in spring snow cover retreat. The winter AO index could therefore be incorporated into long-term runoff forecasts for the Irtysh. Our approach is easily transferable to other similar catchments and could support flood management strategies in Kazakhstan and other countries.

## KEYWORDS

Arctic Oscillation, atmospheric circulation, MODIS, Siberian high, snow cover depletion, Upper Irtysh River

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## 1 | INTRODUCTION

In the regions of Central Asia, owing to the arid and continental climate, snow cover melt provides an important contribution to runoff, determining the availability of freshwater for the local populations. Snow can, however, also act as a source of natural hazards, an issue which can be further exacerbated by climate change and human intervention (Dietz, Conrad, Kuenzer, Gesell, & Dech, 2014; Han et al., 2019). In Kazakhstan, snowmelt represents the primary source of water for agricultural and industrial use, dwarfing the contributions from liquid precipitation or groundwater. Kazakh Rivers are characterized by large variability in annual runoff, with the largest annual discharges exceeding average annual runoff by 5–7 times and more than 75% of annual runoff occurring in a short period in early spring (Kozhakhmetov & Nikiforova, 2016). Floods caused by late winter and spring snow melt are one of the most severe natural hazards in the country, displacing 10–30,000 people each year and causing on average more than \$30 million in damages through loss of infrastructure, crops and livestock (Guha-Sapir, Below, & Hoyois, 2018; UNOCHA, 2016). Floods affect both lowland and mountain rivers, and there is evidence that the number of extreme events affecting mountain rivers has increased by 80% in recent years (Kozhakhmetov & Nikiforova, 2016). This issue is particularly relevant in the East Kazakhstan region, which was one of the regions most affected by flooding between 1967 and 1990 and the most affected between 1991 and 2015, with over 42 floods reported (Kozhakhmetov & Nikiforova, 2016).

In spite of the role of snowmelt for the hydrology of Kazakh Rivers, comparatively little is known about large scale snow cover variations from year to year. While Mashtayeva et al. (2016) analysed the spatiotemporal distribution of snow depth and snow cover duration in all Kazakhstan, a detailed analysis of the patterns of snow cover depletion is necessary for the mitigation of floods, water management strategies and conservation of river ecosystems. The improvement of short- and long-term runoff models further requires an assessment of the relationship between snow cover variability, snowmelt and meteorological variables (Kang & Lee, 2014; Kuchment & Gelfan, 2007), and the prediction of timing and magnitude of peak runoff (Kult, Choi, & Choi, 2014). Temperature exerts the greatest control on snow cover extent and duration (Bednorz, 2004; Hantel, Ehrendorfer, & Haslinger, 2000; Mote, 2006; Tang et al., 2017), although the spatial variation of the temperature–snow cover relationship with elevation is less well understood (Gurung et al., 2017). In addition, temperature anomalies and snow cover across Eurasia are related to large-scale atmospheric circulation patterns via feedback mechanisms (Cohen, Furtado, Barlow, Alexeev, & Cherry, 2012). Eurasian snow cover variability in different seasons has been linked with the phases of the North Atlantic Oscillation (NAO) and Arctic Oscillation (AO), Eurasian and Siberian pressure patterns and sea ice extent in the Barents-Kara Sea (Cohen & Barlow, 2005; Wegmann et al., 2015; Ye & Wu, 2017). Further still, spring snow retreat is also known to influence the East-Asian climate of the following summer by controlling the strength of the Indian summer monsoon (Zhang, Zhang, & Zuo, 2017). However,

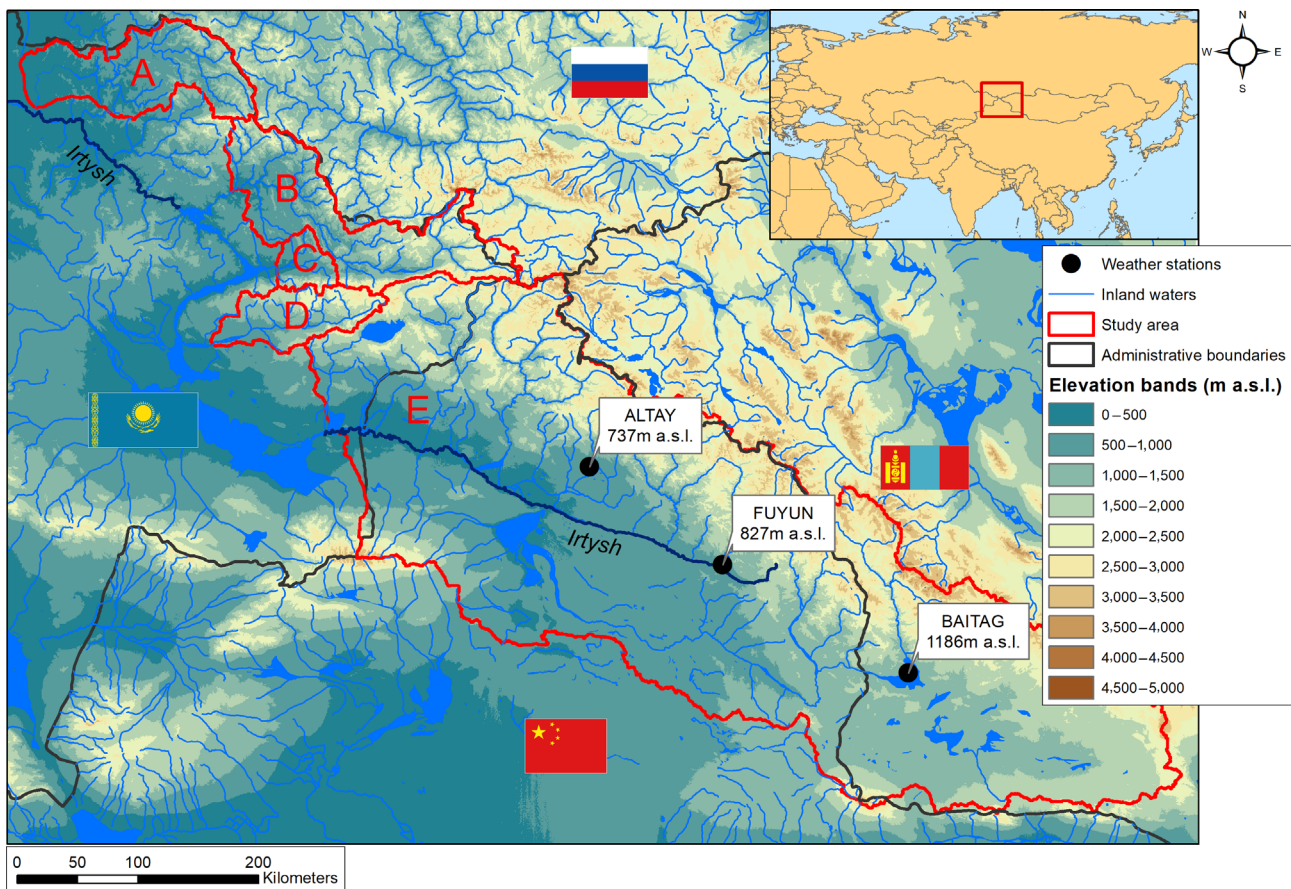
the links between teleconnection patterns, temperature anomalies and snow cover retreat at the catchment scale and possible lagged effects of large-scale atmospheric circulation, which would provide further indications for long-range forecasts, remain to be fully explored.

The size of the East Kazakhstan region and the sparse network of meteorological stations means that investigations on snow cover cannot be realistically undertaken using field data (Mashtayeva et al., 2016) and leaves remote sensing as the most viable option. In this study, moderate resolution imaging spectroradiometer (MODIS) data were chosen as the main data source, as it provides an easily accessible archive of snow cover data from 2000 to the present, produced through a robust methodology and requiring minimal additional processing (Hall & Riggs, 2007).

This study focuses on the analysis of snow cover variability in one of the main water catchments of Kazakhstan, using the MODIS MOD10A2 data set. The aims of this study are (a) to investigate patterns of spring snow cover change in five sub-basins of the Upper Irtysh River catchment, including the magnitude and timing of early/late peak snow cover depletion rates and timing of snow cover disappearance at different elevations; and (b) to investigate the correlations between variability in snow cover, air temperature and atmospheric pressure patterns. The Irtysh basin is used as a case study to evaluate the general applicability of this approach to understanding the linkages between large scale snow cover change and runoff.

## 2 | STUDY AREA

The Irtysh River, flowing in East Kazakhstan, is the main water resource of the country, with an average annual flow of 33.8 km<sup>3</sup>, and the most affected by floods (Kazhydromet, 2006). Its upper catchment (196,000 km<sup>2</sup>, Bayandinova, Mamutov, & Issanova, 2017; Figure 1) encompasses five sub-basins, namely, Uba (9,917 km<sup>2</sup>), Bukhtarma (13,663 km<sup>2</sup>), Narym (1,852 km<sup>2</sup>), Kurchum (4,975 km<sup>2</sup>) and Kara Ertis (143,847 km<sup>2</sup>) from northwest to southeast. Kara Ertis is the largest basin and the Kazakh name of the Irtysh River before the confluence into Lake Zaisan. The river originates in the Southwestern Altay Mountains at 2,500 m a.s.l and flows northwest through the uplands of Northern China, where it is joined by streams originating in Western Mongolia. The major tributaries of the upper river course originate on the western side of the Altay Mountains, and their basins are part of the East Kazakhstan administrative region. Narym, Kurchum (length: 218 km) and Bukhtarma (336 km) flow into the Bukhtarma reservoir; downstream of the city of Öskemen, the Irtysh is joined by the Uba River (Bayandinova et al., 2017). It then passes by Semey and Pavlodar (all with a population of 300,000 or above) in Northern Kazakhstan and enters Russia, joining the Ob and eventually flowing into the Kara Sea. Water intake occurs in China and through a cascade of large water reservoirs namely the Ust-Kamenogorsk, Bukhtarma and Shulbinsk in Kazakhstan, (Huang, 2014), used for irrigation and hydroelectric power production; in fact, the transboundary nature of the river, shared between Kazakhstan, China, Mongolia and Russia,



**FIGURE 1** Map of the study area including rivers, lakes and administrative data from DIVA-GIS (<http://www.diva-gis.org/gdata>) and meteorological stations from the National Oceanic and Atmospheric Administration Global Historical Climatology Network. DEM information is taken from the ASTER GDEM. Letters indicate the basins within the study area. A, Uba; B, Bukhtarma; C, Naryn; D, Kurchum; E, Kara Ertis. Inset map shows location of the study area within Asia

has led to conflicts over water usage (Alimkulov, Kulebayev, & Isakan, 2017; Hrkal, Gadalia, & Rigaudiere, 2006).

The climate of the study area is significantly affected by the presence of the Altay Mountains, capturing atmospheric moisture transported by the Westerlies and resulting in precipitation totals up to 1,500–2,000 mm/year above 600 m a.s.l at the Irtys headwaters (Zhang, Yang, & Lan, 2018) and semi-arid rain-shadow conditions on the leeward Mongolian slopes (Huang, 2014; Klinge, Böhner, & Lehmkühl, 2003; Malygina, Papina, Kononova, & Barlyaeva, 2017). Temperature ranges are extreme, between +41°C in summer and –47°C in winter (Malygina et al., 2017). In contrast with high precipitation in Upper Irtys, the lower river basin is very dry, with annual rainfall not exceeding 250 mm at Pavlodar in North Kazakhstan, 500 km downstream of Öskemen (Huang, 2014).

### 3 | DATA SOURCES AND METHODS

Information on snow cover extent from optical sensors such as MODIS and advanced very-high-resolution radiometer (AVHRR) at moderate spatial resolution (500–1,100 m per pixel) and at daily to weekly time scales has been employed for studying snow cover variability across Eurasia

(Dietz et al., 2014; Dietz, Kuenzer, & Conrad, 2013; Dietz, Wohner, & Kuenzer, 2012). AVHRR data represent one of the longest temporal records from 1978 to the present, but requires additional processing for snow/cloud cover discrimination and the quality of the observations decreases significantly with the oldest generation of sensors (Dietz et al., 2014). Other snow cover data sets with a long-term record include the National Oceanic and Atmospheric Administration (NOAA) Climate Data Record (CDR) Northern Hemisphere snow cover extent, available since 1966 at approximately 190.6 km per pixel (Estilow, Young, & Robinson, 2015), the Interactive Multi Sensor snow cover product (Helfrich, McNamara, Ramsay, Baldwin, & Kasheta, 2007) and reanalysis products (Orsolini et al., 2019). While the resolution of these data sets is too coarse for a detailed analysis of individual basins, they can provide useful information to investigate long-term variability at the catchment scale.

Recently, the availability of Sentinel-2 data has opened up the possibility for investigating snow cover at a much higher spatial resolution (10–20 m) at weekly time scales (Hollstein, Segl, Guanter, Brell, & Enesco, 2016), while Sentinel-3 continues the legacy of MODIS and AVHRR by providing data at moderate resolution (300 m) in large swaths. However, no long-term (i.e. decadal) records exist for the Sentinel satellites as the first was only launched in 2015. Alternative snow

depth and snow water equivalent (SWE) data sets are available from passive microwave sensors (Pulliainen, 2006) or gravity recovery and climate experiment (GRACE) gravimetric data (Wang & Russell, 2016), though the spatial resolution of these products is much coarser, for example, 25 km for the 'Globsnow' product (Luoju et al., 2013) and 1° for GRACE (Landerer & Swenson, 2012). In addition, passive microwave data are known to be unsuitable for snow cover detection in mountainous regions (Luoju et al., 2013), which form a large part of the study area. We therefore chose to use MODIS as the main data source of this study, as it represents the best possible compromise between spatial and temporal resolution and provides data for nearly two decades; for a comparative analysis, of snow cover variability and trends over a longer period of time, we also investigate the CDR Northern Hemisphere snow cover product at grid cells within the study catchment, which was chosen because it holds the longest temporal record of snow cover extent.

### 3.1 | MODIS snow cover

Eight-day composites of the MODIS MOD10A2 Version 6 snow cover product (Hall & Riggs, 2016) were downloaded from the National Snow and Ice Data Centre. This product is derived from the temporal aggregation of MOD10A1 daily snow cover in 8-day composites and it provides the maximum snow cover extent (i.e. a pixel is classified as snow if snow is present on any day of the period). Snow classification is based on the 'Snowmap' algorithm (Crane & Anderson, 1984), using the normalized difference snow index. MOD10A2 also includes information about lakes, lake ice and cloud cover. The first two are obtained using a lake mask, while cloud cover is reported if a pixel is cloudy in each daily image. The accuracy of snow classification in the MOD10A1 product has been reported as 93% under clear sky conditions (Hall & Riggs, 2007), and no further validation was performed in this study. We acquired tiles h22v03, h23v03, h23v04, h24v04 for each year between 2000 and 2017 and days of year (DOY) 57–201, corresponding to 26 February–20 July of a non-leap year, to enable analysis of the full snowmelt period (Table 1). Raw data tiles were reprojected from a MODIS sinusoidal grid to UTM45N WGS84, with uniform spacing of 500 m per pixel, mosaicked into a single raster layer and extracted for the area 40–54°N, 80–94°E. We processed yearly data using a cloud cover interpolation algorithm similar to previously reported in the literature (Gascoin et al., 2015; Tong, Déry, & Jackson, 2009) and calculated snow cover extents for each DOY and year (see Data S1).

Few data gaps were present in the original MOD10A2 and MOD10A1 data sets during 2001 (DOY 169 and 177) and 2002 (DOY 81) (see also Dietz et al., 2013). Snow cover extent on these dates was calculated using linear interpolation based on the adjacent dates.

### 3.2 | Elevation

We derived elevation information from the ASTER GDEM (Tachikawa, Hato, Kaku, & Iwasaki, 2011), between 44–55°N and 80–94°E (a total of 165 individual tiles with 30-m spatial resolution). The digital

**TABLE 1** Day of year (DOY) – date correspondence for 8-day composite MOD10A2 data

DOY	Dates
57–64	26 February–05 March
65–72	06 March–13 March
73–80	14 March–21 March
81–88	22 March–29 March
89–96	30 March–06 April
97–104	07 April–14 April
105–112	15 April–22 April
113–120	23 April–30 April
121–128	01 May–08 May
137–144	17 May–24 May
145–152	25 May–01 June
153–160	02 June–09 June
161–168	10 June–17 June
169–176	18 June–25 June
177–184	26 June–03 July
185–192	04 July–11 July
192–200	12 July–19 July
201–208	20 July–27 July

Note: Dates are shown for a non-leap year.

elevation model (DEM) tiles were checked for inconsistencies and artefacts removed using a nearest neighbour approach. The tiles were then re-projected to UTM45 N WGS84, mosaicked to form a single large DEM and resampled to 500 m resolution to be consistent with the resolution of the processed MODIS snow cover product.

### 3.3 | Basins

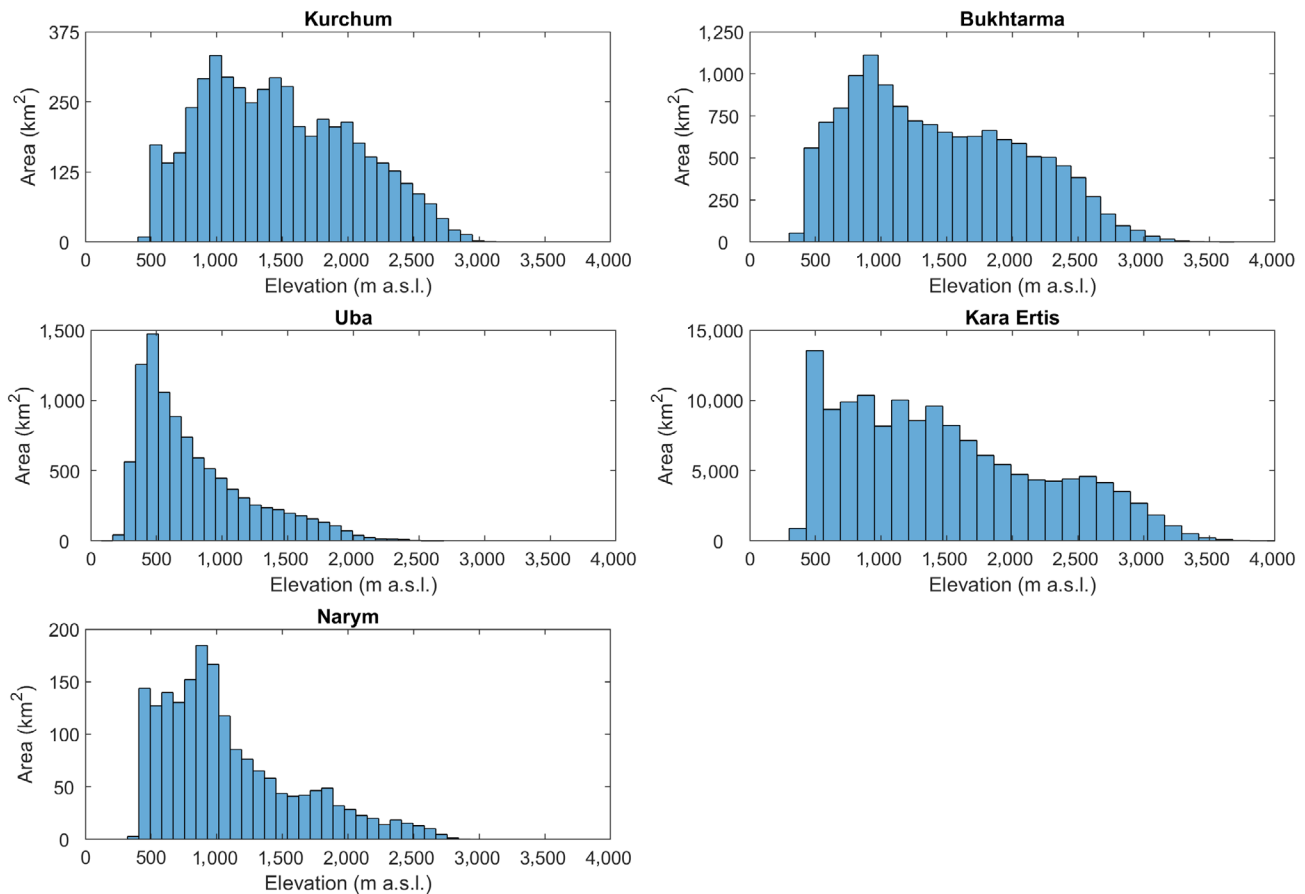
The basin shapefiles were rasterized and resampled to the same size as the 500-m MODIS grid cells. The rasters were then re-vectorized to provide areal information for a polygon corresponding to the grid size of MODIS data. Based on information from the ASTER GDEM, we extracted the hypsometry of each basin using 100-m elevation bins (see Figure 2).

### 3.4 | Calculation of snow cover variables

To investigate fluctuations and potential trends in snow cover in Upper Irtysh, we calculated the DOY of snow cover disappearance (hereafter DSCD), which indicates when a pixel becomes snow free, and is similar to the concept of snow cover melt date used in other studies (Dietz et al., 2014; Liu & Zhang, 2017; Wang & Xie, 2009). We then averaged DSCD for each basin and, as snow cover is highly dependent on elevation (Dietz et al., 2012; Parajka, Pepe, Rampini, Rossi, & Blöschl, 2010), for each separate 500-m elevation range.

To provide further data for hydrological forecasting, we also calculated the peak snow cover depletion rate (hereafter PSCDR, expressed





**FIGURE 2** Hypsometry of the five basins in 100-m elevation bins

in  $\text{km}^2/\text{day}$ ) by identifying the maximum snow cover difference between two images for each basin and elevation range and dividing it by 8 to calculate the depletion rate (Equation 1). We similarly expressed the DOY of peak snow cover depletion (DPSCD) as the DOY when peak snow cover depletion occurs, according to Equation (2):

$$\text{PSCDR} = \frac{\max_{\text{DOY}}(\text{SC}(h,b,\text{DOY}) - \text{SC}(h,b,\text{DOY} + 8))}{8}, \quad (1)$$

$$\text{DPSCD} = \arg \max_{\text{DOY}}(\text{SC}(h,b,\text{DOY}) - \text{SC}(h,b,\text{DOY} + 8)), \quad (2)$$

where SC is snow cover,  $h$  is elevation range,  $b$  is basin and the notation DOY + 8 and division by 8 in Equation (1) is due to the 8-day composite period of MODIS. We also calculated values for each individual basin, by summing over elevation ranges.

### 3.5 | Meteorological data

#### 3.5.1 | Weather station data

We obtained air temperature observations from the NOAA Global Historical Climatology Network (Menne, Durre, Vose, Gleason, & Houston, 2012). Three stations are located in the study area, all in

Kara Ertis basin, namely Altay (737 m a.s.l.), Fuyun (827 m a.s.l.), and Baitag (1,186 m a.s.l.). The stations are located south of the Altay Mountains following a northwest–southeast gradient (Figure 1). Although daily minimum, maximum and average temperatures are available for these stations, we chose to use the latter as the maximum/minimum records are incomplete, often having less than 85% of available data each month. For the same reason, we discarded precipitation and snow depth observations. We chose 2000–2017 as our reference climate period to be consistent with MODIS data; daily mean temperatures were further averaged to obtain monthly, winter [December, January and February (DJF)] and spring [March, April and May (MAM)] records of mean air temperatures and calculate temperature anomalies relative to the reference period.

#### 3.5.2 | Reanalysis data

To further investigate the influence of atmospheric circulation on patterns of snow cover depletion in the whole study area, we downloaded (european center for medium-range weather forecasts re-analysis) ERA Interim records of daily air temperatures and mean sea-level pressure (MSLP) for central Asia from the European Centre for Medium-range Weather Forecasts (ECMWF), between 1990 and 2017 (Dee et al., 2011). ERA-Interim is produced by combining

observational data with previous information from a forecast model in 12-hourly analysis cycles, and we chose it over other reanalysis products in view of its temporal coverage and relatively fine grid sampling of  $0.75^\circ \times 0.75^\circ$ . We employed the daily analysis product with observations at 6:00:00 UTC, corresponding to noon local time, and averaged them to monthly, spring and winter values to calculate temperature anomalies over the reference climate period.

### 3.5.3 | Atmospheric circulation indices

We obtained Northern Hemisphere monthly atmospheric circulation indices from the NOAA Climate Prediction Centre, which represent the leading patterns extracted from rotated empirical orthogonal functions (Barnston & Livezey, 1987), applied to 500 hPa height anomalies in the analysis region  $20^\circ$ – $90^\circ$ N. In turn, these reflect large-scale changes in atmospheric waves and jet stream patterns influencing temperature, precipitation and storm tracks as well as the position and intensity of the jet stream (NOAA, 2012). We included the indices that have been shown to influence the climate of Eurasia, specifically the NAO, the East Atlantic pattern (EA), the East Atlantic/Western Russia pattern (EAWR), the Scandinavia pattern (SCAND) and the Polar-Eurasian pattern (POL).

The NAO is the most prominent atmospheric mode during winter months, consisting in a north–south dipole of anomalies, one centred over Greenland and one over the North Atlantic between  $35^\circ$  and  $40^\circ$ N. It is associated with the intensity and location of the North Atlantic jet stream and storm track, with the positive (negative) phase leading to above-average (below-average) temperature anomalies over Europe, extending over Siberia in prolonged phases (NOAA, 2012). The NAO has been widely used to analyse snow cover variability over Europe (Bednorz, 2004; Bojariu & Gimeno, 2003). The EA is the second most prominent mode, and consists of a north–south dipole of anomaly centres spanning the North Atlantic from east to west (NOAA, 2012). The positive phase of the EA is associated with above-average temperature in Europe and positive precipitation trends over Scandinavia for all months. The EAWR pattern has four main anomaly centres: Europe, Northern China, North Atlantic and north of the Caspian Sea. It is a primary teleconnection affecting Eurasia throughout the year and in its positive phase it is commonly associated with below-average temperature anomalies for large portions of western Russia (NOAA, 2012). The SCAND describes a primary circulation centre over Scandinavia and weaker centres over Western Europe and Western Mongolia. Its positive phase is characterized by anticyclonic anomalies over Scandinavia, giving rise to below-average temperatures over Central Eurasia (Bueh & Nakamura, 2007). The POL is most commonly associated with above-average temperatures of Eastern Siberia, reflecting an enhanced (weakened) polar vortex during a positive (negative) phase (NOAA, 2012).

We also included the AO, or Northern Hemisphere annular mode, which was obtained from NOAA CPC, based on 1,000 hPa height anomalies. The AO is a planetary scale pattern of climate variability related to the zonal flow between  $35^\circ$  and  $55^\circ$ N and has been widely

linked to snow cover variability in Central Asia (Bamzai, 2003; Clark, Serreze, & Robinson, 1999; Ye & Wu, 2017). Finally, we calculated the Siberian high (SH) index, which expresses the strength of the SH, a semi-permanent and quasi-stationary anticyclone stationed over Siberia throughout the winter and early spring months, associated with the coldest air masses of the Northern Hemisphere (Panagiotopoulos, Shahgedanova, Hannachi, & Stephenson, 2005). The SH was calculated by averaging daily values of MSLP obtained from reanalysis data into monthly composites for the area  $40^\circ$ – $65^\circ$ N,  $80^\circ$ – $120^\circ$ E, as outlined by Panagiotopoulos et al. (2005) and standardized based on the 1990–2017 mean and standard deviation of MSLP for each month. For all indices, we produced winter and spring mean values by averaging DJF and MAM data.

### 3.6 | Correlation analysis

We investigated links between snow cover variability, atmospheric circulation and temperatures through correlation analysis, assessing the strength of relationships using Pearson's correlation coefficient ( $r$ ), and evaluating significance ( $p$ ) using a two-tailed student test. We compared winter/spring indices with DSCD and DPSCD at separate elevation ranges and basins, and per pixel in the case of DSCD. To assess the influence of temperatures, we also compared winter/spring indices with temperature anomalies and temperature anomalies with snow cover variables. Temperatures from stations and ERA-Interim reanalysis between 2000 and 2017 were used for this test. Before this operation, data were detrended to isolate the inter-annual fluctuations and account for autocorrelation by using the differencing method (Panagiotopoulos et al., 2005). No residual autocorrelation was evident from the Ljung-Box Q test. The analysis was also performed for each basin as a whole and at separate elevation ranges, with temperatures from each weather station and ERA-Interim grid cells in the study area.

### 3.7 | NOAA CDR Northern Hemisphere snow cover extent

To investigate the variability and trends in spring snow cover depletion, and the relationship with atmospheric circulation over a longer temporal period than the MODIS era, we extracted data from the CDR Northern Hemisphere snow cover extent version 01 (Robinson et al., 2012), a long-term data set (1966 to present) providing snow cover extent for land masses of the Northern Hemisphere (Estilow et al., 2015). In this data set, snow cover is reported on a weekly basis with a binary mask (snow/no-snow) on an  $88 \times 88$  grid, that is, a pixel resolution of 190.6 km at  $60^\circ$ N. At this resolution, six pixels lie within the study area, of which only one falls entirely within the large Kara Ertis basin, while the other pixels can be considered mixed. For each of these six pixels we calculated DSCD between DOY 57 and DOY 201, following the methodology reported in Section 3.4. We did not attempt to calculate DPSCD or PSCDR since these are basin-wide

metrics, which would require a larger number of pixels to provide a meaningful comparison.

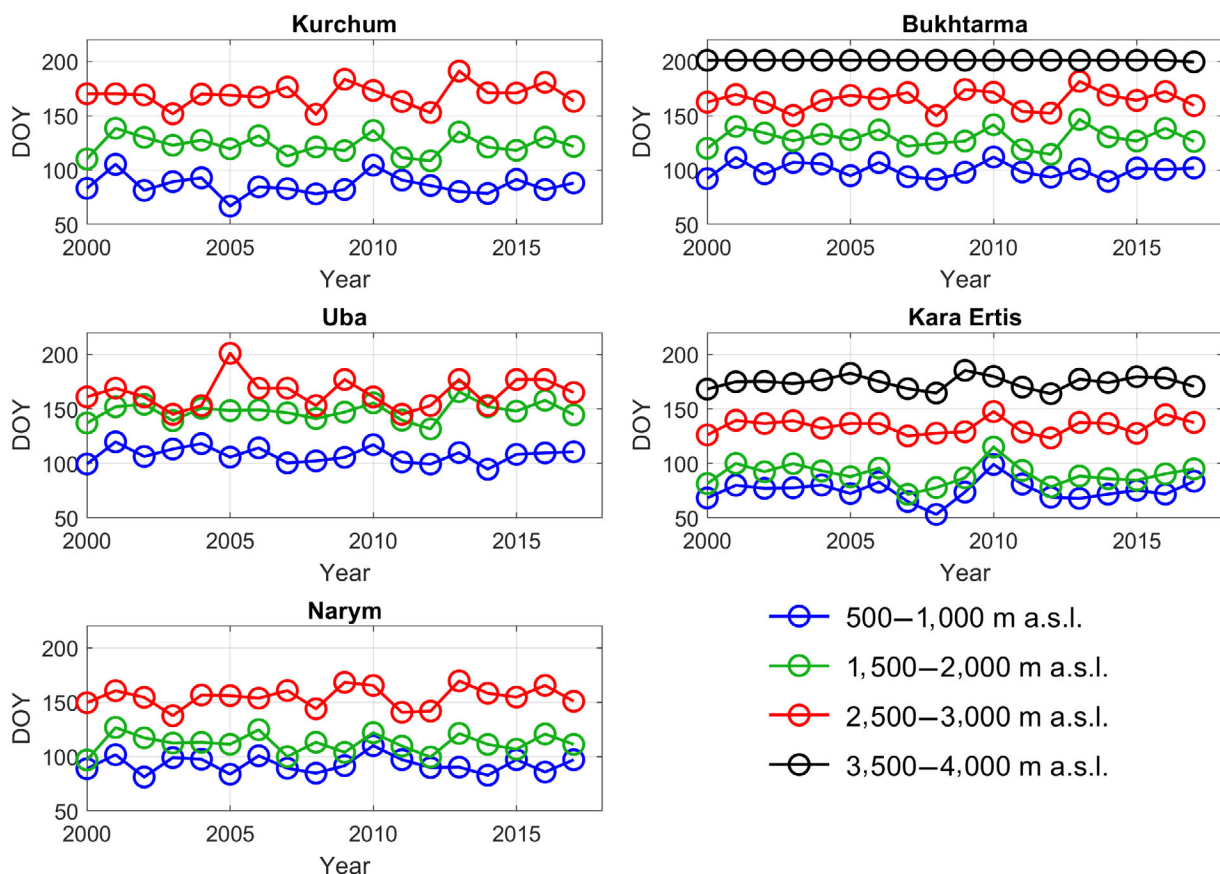
## 4 | RESULTS

### 4.1 | Multiannual snow cover variability from 2000 to 2017

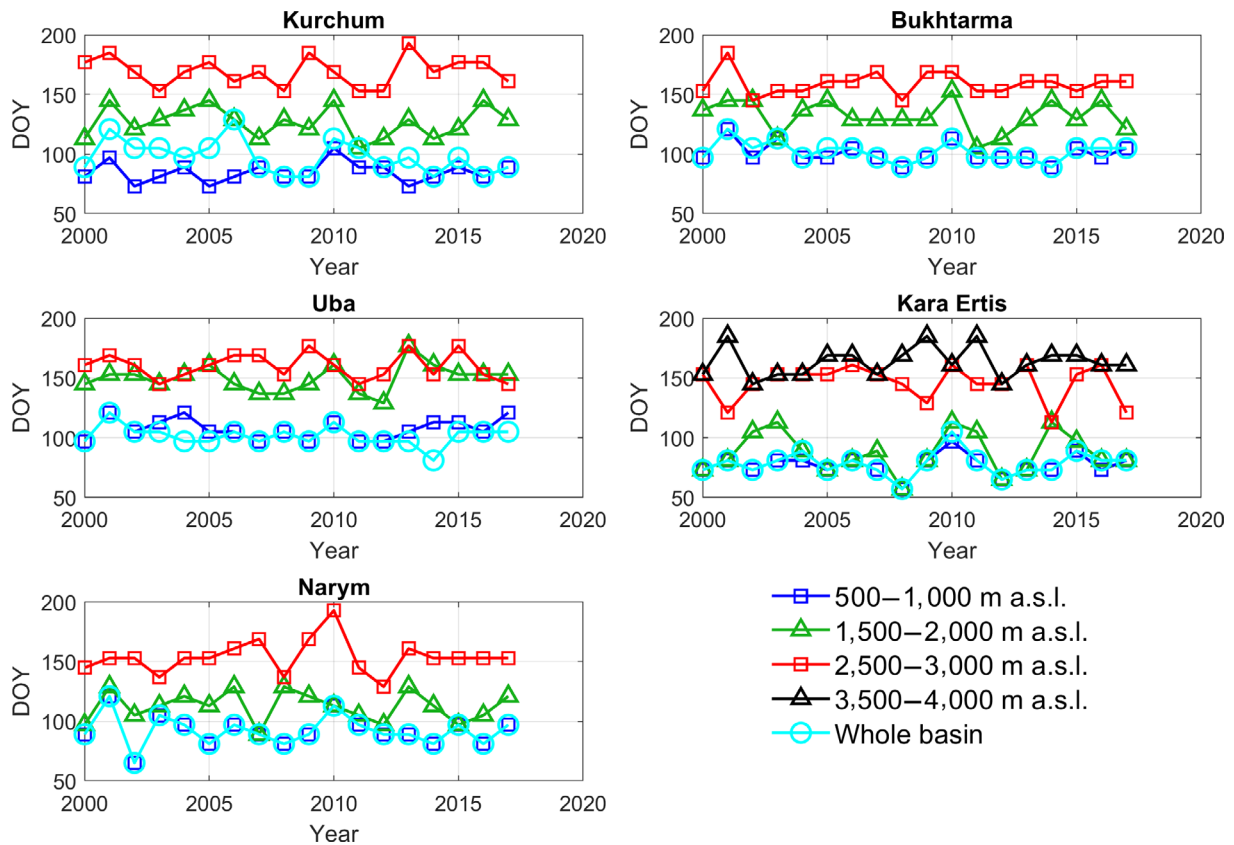
While there are no notably strong trends in mean DSCD in any of the elevation intervals over the MODIS period, there appear to be large inter-annual fluctuations at the lower elevations, especially in the larger Kara Ertis basin, while very little variability is seen above 3,500 m a. s.l. (Figure 3d). The most consistent patterns across the basins are the late DSCD events in 2010, best seen in Kara Ertis basin under 2,000 m a.s.l. (see Figure 3d), and in 2013, mostly seen in the smaller basins above 2,000 m a.s.l. (see Figure 3a–c,e). Other notable features include the early DSCD in 2003, 2008 and 2012 above 2,500 m a.s.l. in the smaller basins (Figure 3a–c,e); 2008 also shows a large shift towards earlier DSCD in Kara Ertis basin between 500 and 1,000 m a.s.l. (Figure 3d). An isolated spike towards later DSCD is present in 2005 in Uba basin (see Figure 3c), indicating snow did not completely melt during the observation period. At the lower

elevations (<1,000 m a.s.l.), snow disappears on average before DOY 105 (mid-April, see Table 1), in the smaller basins and much earlier (DOY 73, third week of March) in Kara Ertis basin. At the upper elevations (3,500–4,000 m a.s.l.), it either disappears at the end of June in Kara Ertis basin, or does not melt at all during the observation period (until the end of July) in Bukhtarma basin (see Figure 3b). Between 2,500 and 3,000 m a.s.l., snow disappears on average in May in Kara Ertis basin and early June in the smaller basins, with the exception of Uba basin in 2005.

In comparison with DSCD, larger inter-annual variability can be seen at all elevation ranges for DPSCD (Figure 4). However, the patterns of DPSCD for entire basins seem to closely match those of their 500–1,000-m elevation range, with the exception of Kurchum basin (Figure 4a) where the basin-wide values lie between those of two elevation ranges, due to the different hypsometry of this basin (see Figure 2). As with DSCD, late DPSCD can be seen in 2010 in Bukhtarma, Kara Ertis and Narym basins at most elevation ranges, while 2008 shows earlier DPSCD mainly at the lower elevations of Kara Ertis (<2,000 m a.s.l.) and Bukhtarma (<1,000 m a.s.l.) basins (Figure 4b,d,e). The different elevation ranges generally show distinct patterns, with several contrasting values of early/late peak snow depletion, for example, between 2,500–3,000 m a.s.l. and 3,500–4,000 m a.s.l. in Kara Ertis basin (Figure 4d) and 1,500–2,000 m a.s.l. and 2,500–3,000 m a.s.l. in

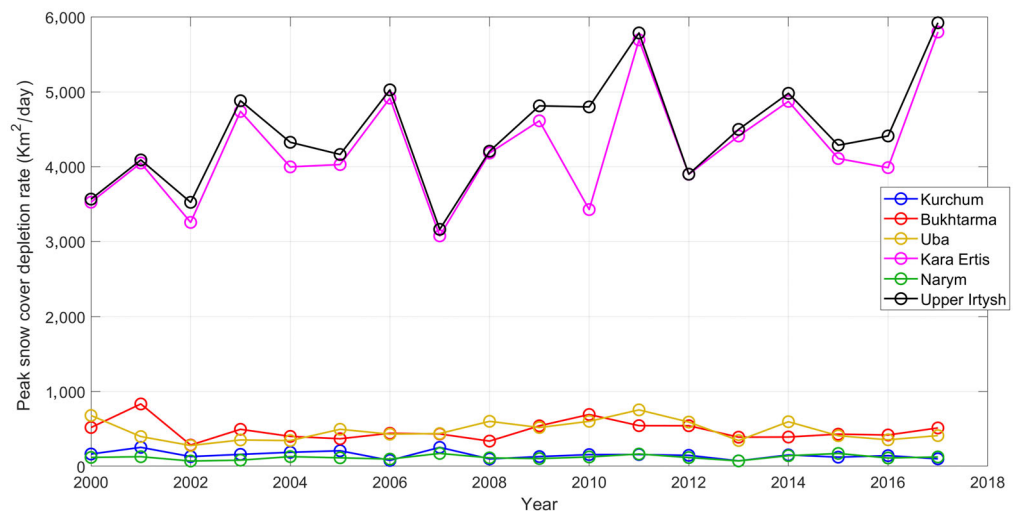


**FIGURE 3** Day of year (DOY) for mean snow cover disappearance (DSCD) by elevation bands for the five basins between 2000 and 2017. Selected elevation intervals from 500–4000 m a.s.l. are shown by the different colours



**FIGURE 4** Day of Year (DOY) for peak snow cover depletion rate (DPSCD) by elevation bands for the five basins between 2000 and 2017. Selected elevation intervals from 500–4000 m a.s.l. and the value for the whole basins are shown by the different colours

**FIGURE 5** Peak snow cover depletion rate (PSCDR, km<sup>2</sup>/day) for each basin and the whole Upper Irtysh between 2000 and 2017



Uba basin (see Figure 4c). In the smaller basins, DPSCD generally occurs in April and on average, peak snow cover depletion occurs in early April in Bukhtarma and Uba (DOY 97) basins (Figure 4b,c), and during the first week of April (DOY 89) in Narym basin (Figure 4e). In the whole Upper Irtysh basin, DPSCD is synchronous with snow depletion in Kara Ertis basin except for 2010, when it occurred a week later.

PSCDR itself is largely controlled by snow retreat between 500 and 1,500 m a.s.l., with smaller contributions from the other

elevation ranges, and is up to 8 times larger in Kara Ertis basin, due to its greater size. For this reason, we here show the basin-wide values, not individual elevation ranges, together with the global value for the Upper Irtysh catchment (see Figure 5). PSCDR for Upper Irtysh closely resembles the Kara Ertis basin series, with the exception of 2010, when there was a large drop in the Kara Ertis basin PSCDR. PSCDR maxima occurred in 2011 and 2017 with almost 5,800 and over 5,900 km<sup>2</sup>/day, respectively, with the lowest amount in 2007



(3,160 km<sup>2</sup>/day), and an average of over 4,500 km<sup>2</sup>/day. We evaluated trends for each basin and the whole Upper Irtysh using both ordinary least-squares linear regression and the Mann-Kendall test. A significant ( $p < .05$ ) positive trend of +67 km<sup>2</sup>/day per year was identified for the Upper Irtysh basin. However, the trend is only significant at the 90% confidence level when the Mann-Kendall test is used. No other significant trend is evident for individual basins.

## 4.2 | Correlation analysis

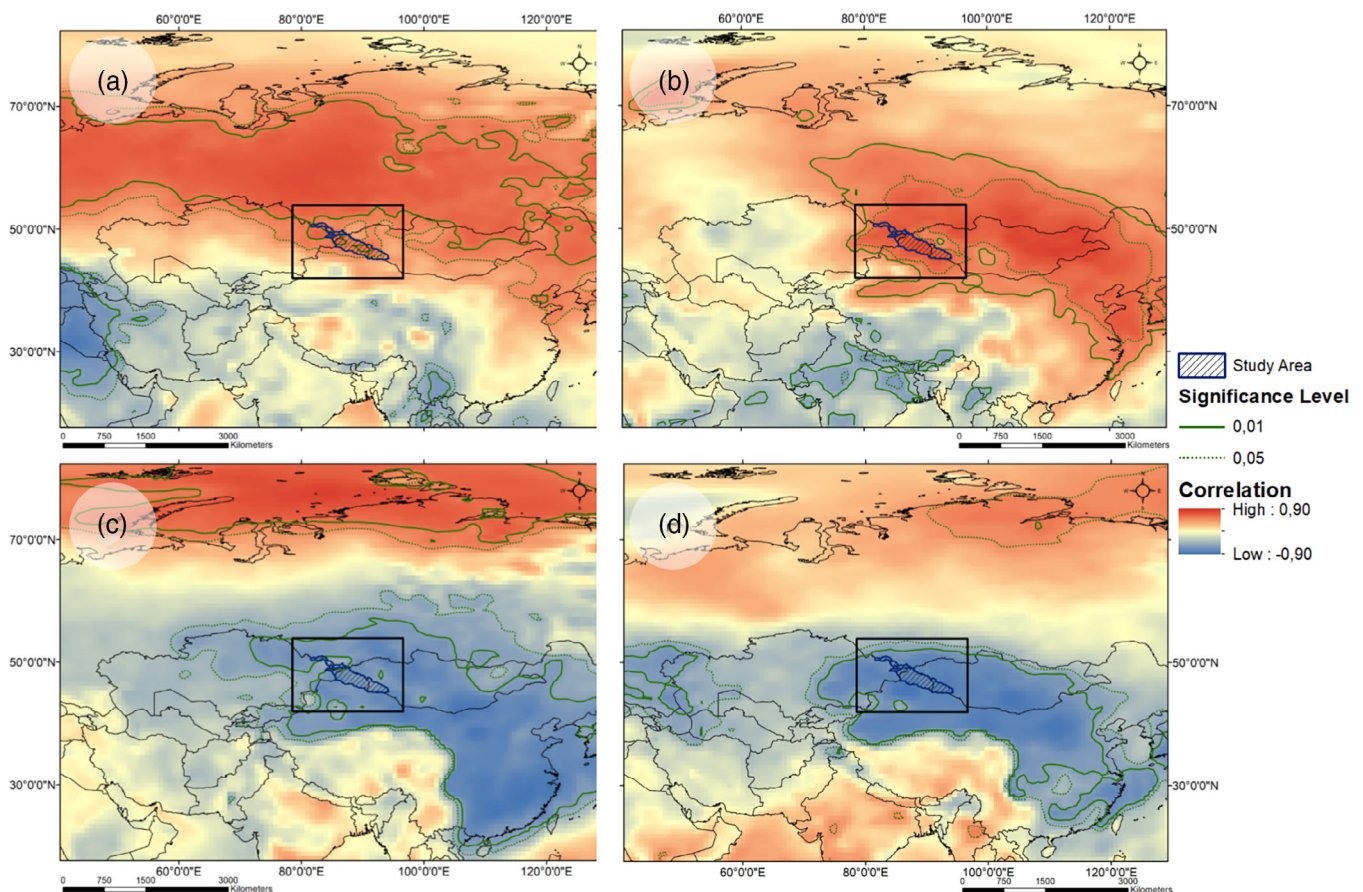
### 4.2.1 | Atmospheric circulation indices and temperature anomalies

To identify the most suitable indices for snow cover analysis, we first looked at their correlation with temperatures, by considering aggregated winter (DJF) and spring (MAM) indices. The AO and SH emerge as the strongest indices: both the winter AO and SH are correlated with temperature anomalies from all three stations and across basins in the reanalysis data, although the AO is non-significantly ( $p > .05$ ) correlated at some grid points (Figure 6a). Specifically, the winter AO appears positively correlated with winter temperatures across Siberia

exerting its influence north to the Barents Sea and south of the Altay Mountains (see Figure 6a). Conversely, the SH exerts a more local impact in winter, influencing temperatures north of the Tibetan plateau, across Northern China, East Kazakhstan and Mongolia, with its southernmost tip over Southeast China (Figure 6c).

Spring SH and winter AO are also strongly correlated with spring temperature anomalies at all stations and grid cells in the Upper Irtysh (see Table 2 and Figure 6b,d). The correlation between the winter AO and ERA-Interim spring temperature anomalies is significant ( $p < .05$ ) across the Altay Mountains, between eastern Kazakhstan, Northern Mongolia and Southern Russia, including the study area (see Figure 7b), with patterns of significant correlation with temperatures displaced to the east compared to the winter. The correlation between spring SH and spring temperature anomalies from ERA-Interim shows a similar pattern to the correlation between winter temperature and winter SH, although the extent of the influence is limited to a latitudinal band between 70° and 120°E (see Figure 6b,d).

Other indices show significant correlation at either individual stations or grid cells, for example, the winter NAO is also correlated with winter and spring temperatures, although the strength of the relationship is generally lower than for the AO, except for the winter index – winter temperature anomalies at ERA grid cells. The winter SCAND



**FIGURE 6** Correlation between winter/spring atmospheric circulation indices and temperature anomalies across Asia between 2000 and 2017. (a) Winter Arctic Oscillation (AO) – winter temperatures; (b) winter AO – spring temperatures; (c) winter Siberian High (SH) – winter temperatures; (d) spring SH – spring temperatures. The blue polygon inside the black box represents the location of the study area

**TABLE 2** Correlations between average winter (DJF) and spring (MAM) indices and DJF – MAM temperature anomalies from weather station and ERA-Interim data between 2000 and 2017

Correlation index – Temperatures	Index	Correlation at Altay	Correlation at Fuyun	Correlation at Baitag	Correlation with ERA		
					Min	Max	Avg
DJF–DJF	NAO	0.34	0.29	<b>0.54</b>	0.43	<b>0.68</b>	<b>0.56</b>
	EA	<b>0.53</b>	<b>0.65</b>	0.23	0.20	<b>0.54</b>	0.36
	EAWR	<b>0.50</b>	0.46	0.22	0.07	0.38	0.22
	SCAND	<b>–0.53</b>	<b>–0.61</b>	<b>–0.50</b>	–0.31	0.01	–0.13
	POL	0.24	0.15	0.42	–0.40	0.07	–0.13
	AO	<b>0.52</b>	<b>0.51</b>	<b>0.69</b>	0.39	<b>0.65</b>	<b>0.54</b>
	SH	<b>–0.73</b>	<b>–0.72</b>	<b>–0.51</b>	<b>–0.77</b>	<b>–0.51</b>	<b>–0.64</b>
MAM–MAM	NAO	0.19	0.22	0.33	0.01	0.27	0.16
	EA	0.05	–0.01	0.03	–0.12	0.10	0.02
	EAWR	0.11	0.16	0.24	0.01	0.36	0.17
	SCAND	–0.03	–0.01	–0.15	–0.29	0.11	–0.17
	POL	$-5e^{-4}$	0.02	0.07	–0.09	0.23	0.07
	AO	–0.03	0.02	0.13	–0.13	0.08	–0.03
	SH	<b>–0.83</b>	<b>–0.79</b>	<b>–0.76</b>	<b>–0.87</b>	<b>–0.67</b>	<b>–0.77</b>
DJF–MAM	NAO	<b>0.69</b>	<b>0.66</b>	<b>0.67</b>	0.19	<b>0.49</b>	0.36
	EA	–0.11	–0.08	–0.22	–0.25	0.11	–0.08
	EAWR	–0.02	0.04	0.03	0.14	0.63	0.33
	SCAND	–0.44	<b>–0.48</b>	–0.45	–0.41	0.06	0.20
	POL	0.04	0.10	0.15	–0.30	0.14	–0.10
	AO	<b>0.71</b>	<b>0.72</b>	<b>0.76</b>	<b>0.60</b>	<b>0.78</b>	<b>0.70</b>
	SH	0.02	–0.04	0.02	–0.22	0.23	0.01

Note: Bold values indicate significant correlation ( $p < .05$ ) at individual stations/ERA-Interim cells and indices with highest correlations.

Abbreviations: AO, Arctic Oscillation; DJF, December, January and February; EA, East Atlantic pattern; EAWR, East Atlantic/Western Russia pattern; MAM, March, April and May; NAO, North Atlantic Oscillation; POL, Polar-Eurasian pattern; SCAND, Scandinavia pattern; SH, Siberian high.

and EA also correlate with winter temperatures. The correlation between SCAND and winter temperatures, however, is only significant at weather stations, while the winter EA correlates at two stations (Altay and Fuyun) and limited grid cells (see Table 2).

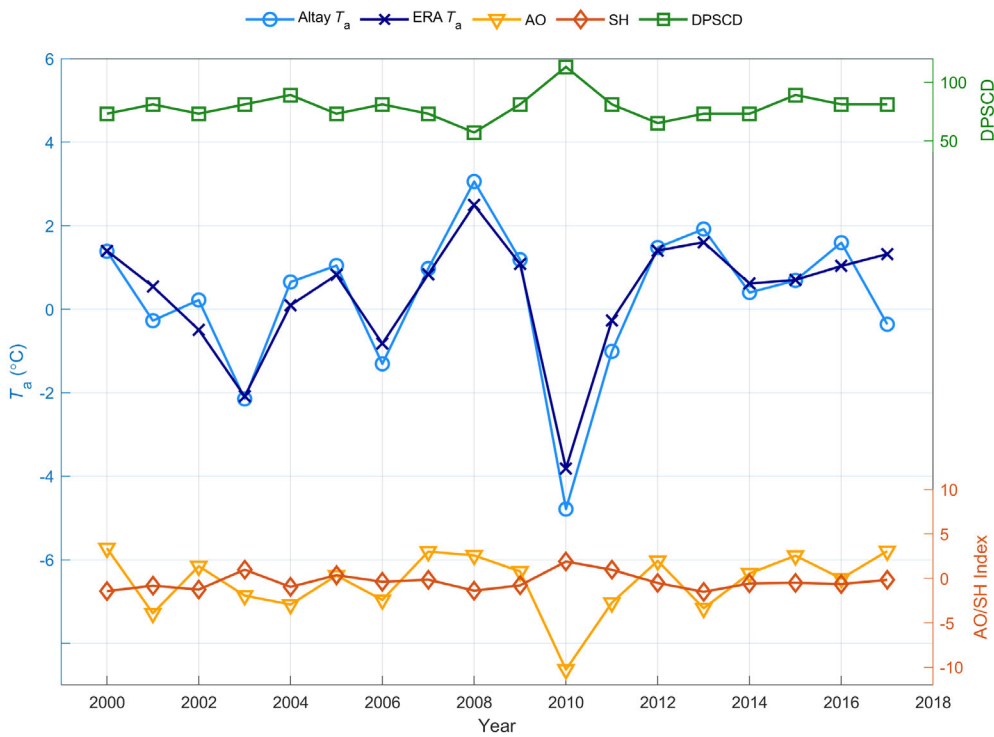
#### 4.2.2 | Atmospheric circulation indices and snow cover variability

The time series of the two strongest indices (i.e., winter AO and spring SH), spring temperature anomalies from reanalysis data and Altay weather station and DPSCD in the Upper Irtysh are plotted in Figure 7. All variables show significant moderate to strong cross-correlation, particularly temperature anomalies from ERA-Interim and Altay stations (0.94). Additionally, high interannual variability exists for the AO and temperatures, while the SH is mostly negative from 2000 to 2017. The largest anomalies in all series occur in 2010, with negative values for the AO and temperatures and positive ones for the SH and DPSCD. In contrast, positive anomalies in temperatures in 2008 are synchronous with a positive AO and negative SH and DPSCD. In spite of the general agreement between the variables,

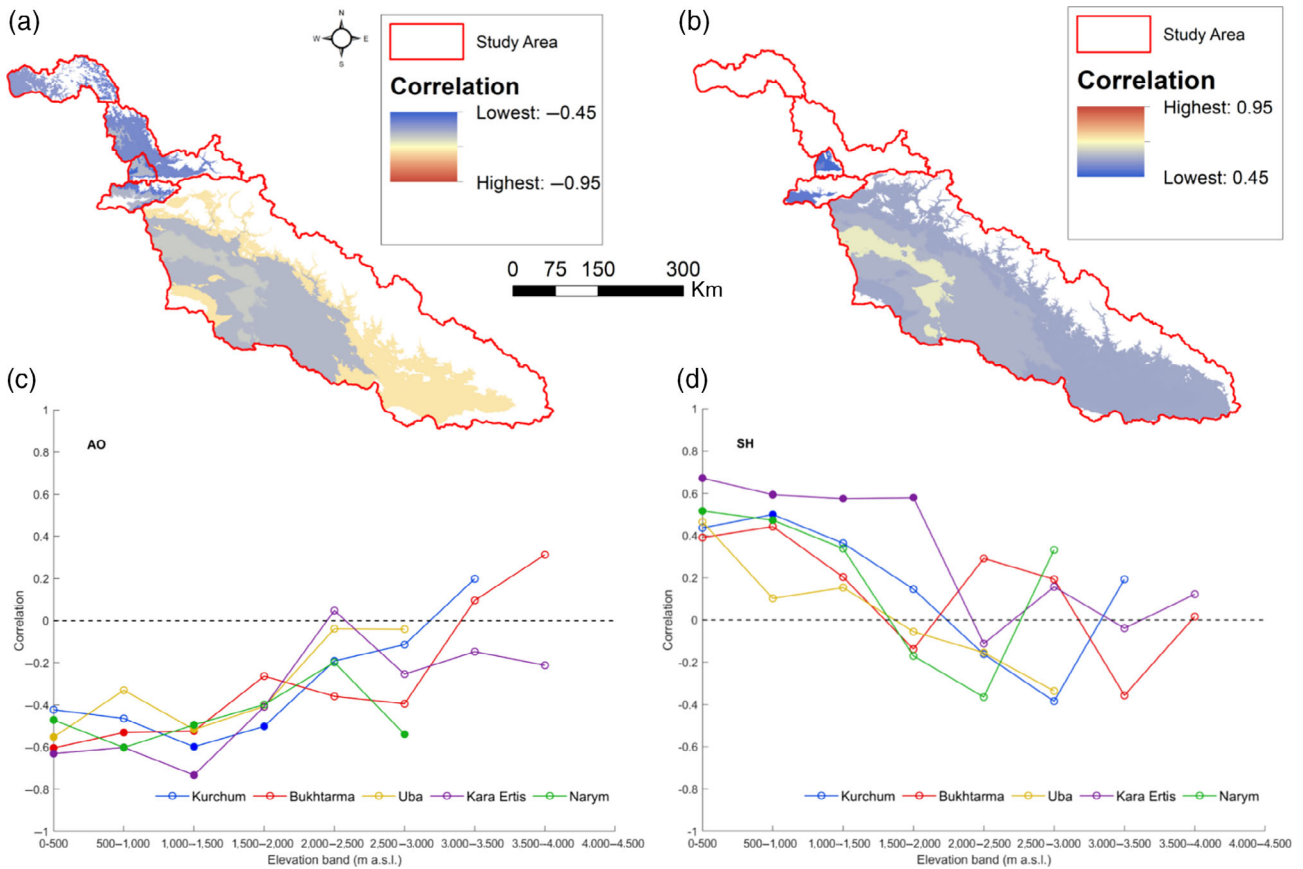
some exceptions occur, such as positive temperature anomalies in 2004 followed by a late DPSCD and the negative winter AO in 2013 with above average temperatures the following spring.

Among the two indices, the winter AO shows the strongest (negative) correlation with DPSCD in all basins, while Spring SH is significantly positively correlated with DPSCD only in Kara Erys and Narym basins. Both indices also correlate with Upper Irtysh basin as a whole (see Figure 8 and Table 3). Across different elevation ranges, winter AO shows significant correlation with DPSCD up to 1,500 m a.s.l. in Kara Ertis, Bukhtarma and Narym basins, with generally higher values in the Kara Ertis basin (max  $|r|$  0.73) compared to the others (see Figure 8a,c). As regards spring SH, the highest (positive) correlations are again seen in Kara Ertis basin up to 2,000 m a.s.l. In the other basins, correlation is either not significant or significant in fewer elevation ranges compared to the AO (see Figure 8b,d).

The per-pixel correlation between the AO and SH and DPSCD shows stronger correlations compared to DPSCD across multiple elevation ranges (see Figure 9). Spatially, the correlation with the winter AO shows elevation dependence, with the strongest values below 2,000 m a.s.l. south of the Altay Mountains in most basins. The main exception is an area of lower correlation in the south-eastern part of



**FIGURE 7** Spring (March, April and May, MAM) air temperature anomalies from Altay weather station and reanalysis data (average of Upper Irtysh basin), day of year for peak snow cover depletion rate (DPSCD) in Upper Irtysh and values of the winter Arctic Oscillation and spring Siberian High index between 2000 and 2017



**FIGURE 8** Correlation between selected atmospheric circulation indices and day of year for peak snow cover depletion (DPSCD) at different basins and elevation ranges in the Upper Irtysh. (a,b) Correlation maps for winter Arctic Oscillation (AO) and spring Siberian High (SH), respectively. Pixels with non-significant correlations are left blank. See Figure 1 for elevation ranges. (c,d) Plots of correlation versus elevation band in the five basins for winter AO and spring SH, respectively. Solid symbols indicate significant correlation at the 95% confidence level

Kara Ertis, on the Mongolian side, corresponding to the dry steppes of the Gobi Desert (see Figure 9a). Across elevation ranges, moderate to strong significant negative correlation ( $< -0.6$ , 99% confidence level) with winter AO is seen up to 2,000 m a.s.l. (3,500 m a.s.l. in Kara Ertis basin), with the strongest values all between 1,000 and 1,500 m a.s.l. (see Figure 9c). The spring SH shows generally lower correlation values, mainly limited to the Kara Ertis basin, while very few pixels correlate in the smaller basins, limited to the lower elevations. The highest correlations are seen in the Gobi Desert, the same area that showed lower correlation with the winter AO, and west of lake Ulungur in the southern Kara Ertis basin (see Figure 9b). Significant positive correlation ( $>0.5$ , 95% confidence level) with DSCD is seen up to 2,000 m a.s.l. in this basin. Significant correlation is also seen up to 1,000 m a.s.l. in Narym and 500 m a.s.l. in Bukhtarma and Uba basins (see Figure 9d).

Both snow cover variables show significant correlations with spring temperature anomalies from weather stations in the relevant elevation band, that is, 500–1,000 m a.s.l. for Altay and Fuyun and 1,000–1,500 m a.s.l. for Baitag. For DPSCD, the strongest correlation is seen in Kara Ertis basin with a max  $|r|$  of 0.79 at Altay; this basin has the highest correlations at all three stations, while no significant correlation with temperatures from any weather station is seen in Uba basin (see Table 4). For DSCD, all correlations with temperatures from weather stations are statistically significant: the strongest correlation is between DSCD in Kara Ertis basin and Baitag spring temperature anomalies, with high values also in Narym and Uba basins (see Table 4).

## 5 | DISCUSSION

### 5.1 | Data and methods uncertainty

The main uncertainties in the analysis of snow cover variability and the association between snow cover variables and atmospheric indices are related to (a) the use of 8-day snow cover area instead of daily products, which also implies the derived DSCD and DPSCD are in fact 8-day products; and (b) cloud-cover interpolation. Drawing links between these

**TABLE 3** Significant correlations ( $p < .05$ ) between winter Arctic Oscillation (AO), spring Siberian High (SH) indices and Day of Year for peak snow cover depletion (DPSCD) for the five basins and the whole Upper Irtysh catchment area

Basin	Correlation winter AO/DPSCD	Correlation spring SH/DPSCD
Bukhtarma	−0.48	0.42*
Kara Ertis	−0.67	0.58
Kurchum	−0.61	0.37*
Narym	−0.60	0.47
Uba	−0.39*	0.18*
Upper Irtysh	−0.71	0.61

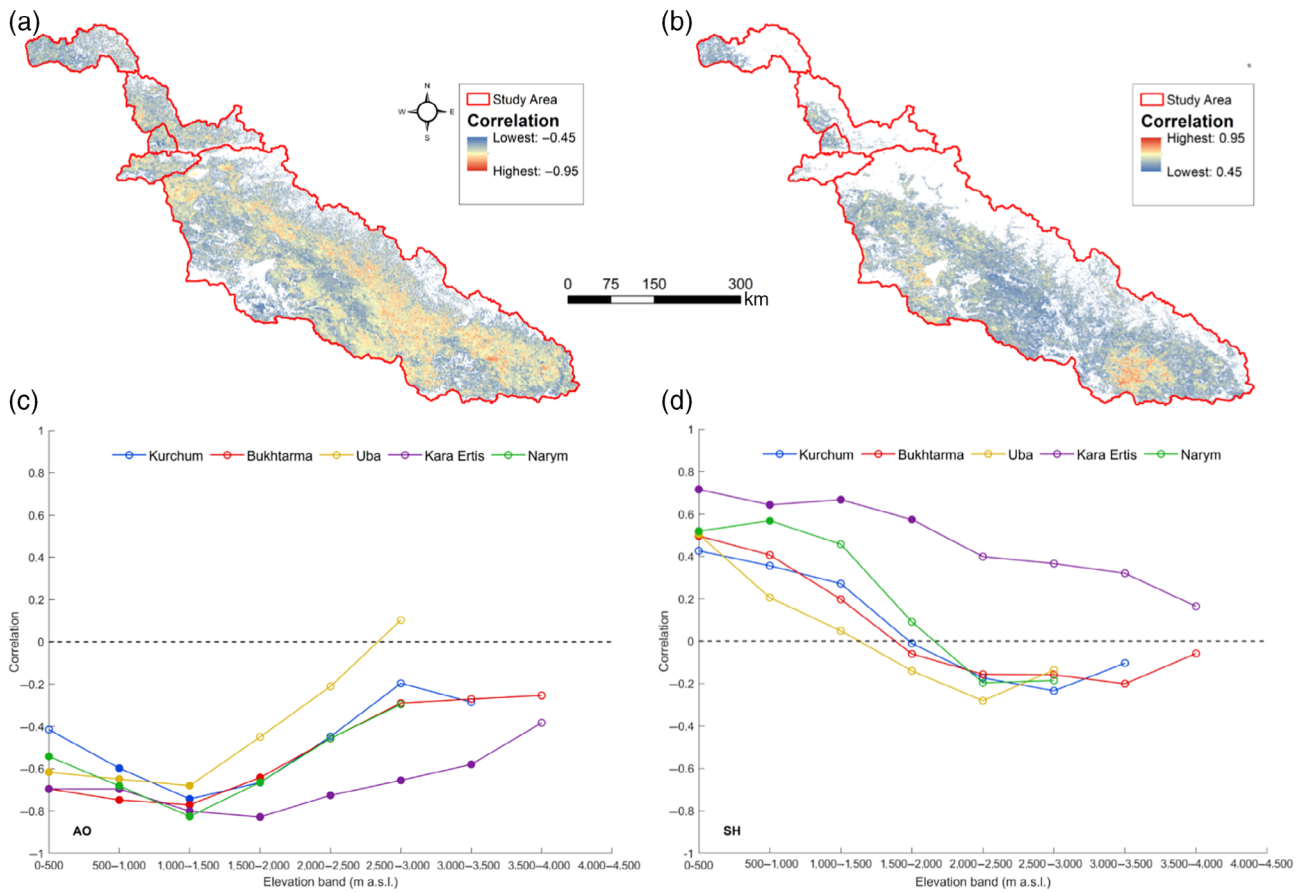
Note: Asterisk (\*) indicates non-significant correlation.

variables and flood hazards in East Kazakhstan also requires an assumption that snow covered area can be used to represent SWE and snow cover depletion can be a proxy for snow cover melt and river discharge. Further uncertainty can also come from the usage of two separate reanalysis products: ERA-Interim was employed for temperatures and calculation of the SH while the other atmospheric indices, including the AO, are based on geopotential height fields from NOAA NCEP-NCAR. However, the largest differences between temperatures between reanalysis schemes are generally observed in the upper stratosphere, while maximum monthly differences from an ensemble-mean reanalysis are between  $-0.5$  and  $0.5$  K for both ERA-Interim and NOAA NCEP/NCAR below 500 hPa, with no clear bias (Long, Fujiwara, Davis, Mitchell, & Wright, 2017). Similarly, no bias is observed for geopotential height in the troposphere (Martineau, Wright, Zhu, & Fujiwara, 2018).

The choice of the 8-day composite product was made to reduce the impact of cloud cover on the study area. While the main misclassification in the daily MODIS product occurs between clouds and snow, and can propagate to the 8-day product (Hall & Riggs, 2007), the accuracy of the composite product might actually be higher than that of the daily products (93% on average under clear sky conditions, Hall & Riggs, 2007) in areas of high cloud cover, also leading to a higher correlation of the composite product with streamflow (Zhou, Xie, & Hendrickx, 2005). In a study conducted in northern China, Wang, Xie, Liang, and Huang (2009) found an accuracy of 94% for snow mapping for the composite product at snow depths greater than 4 cm, decreasing to 39% for lower depths and patchy snow cover. These are, however, likely to represent a small amount of SWE and therefore have a small impact on the assessment of water availability. The use of a cloud-interpolation scheme can also help reduce the cloud-cover related uncertainty (Dietz et al., 2013; Dong & Menzel, 2016), although our algorithm might introduce biases by enhancing elevation dependency (see S1, Point 3) and in case of snow melt and subsequent snowfall (see S1, Point 4); a further improvement on cloud cover interpolation accuracy is the use of in situ temperature and precipitation data (Dong & Menzel, 2016), although these are not often readily available in remote areas. Small uncertainties in snow cover variability might also stem from data gap filling in the original MODIS product in 2001 and 2002 at lower and higher elevations, respectively. Higher elevations in our study area might be affected by late spring snow falls after snow melt, which will not be recorded as DSCD, calculated as the first week of snow disappearance. However, these events are expected to provide a low contribution to the SWE.

The association between snow covered area, SWE and stream discharge has been demonstrated in several studies using the daily or 8-day MODIS composite product and other SCA data sets. Delbart, Dunesme, Lavie, Madelin, and Goma (2015) found an uncertainty of 15% in predicting water discharge from SCA between April and September in four Andean catchments. Further still, Gafurov et al. (2016) found high correlations ( $>0.78$ ) at elevations between 4,000 and 6,000 m a.s.l. in the Gandaki basin in the Hindu Kush Himalayas. Using the composite product, Tong et al. (2009) identified a correlation of 0.84 between cloud-filtered snow cover extent and streamflow in the Quesnel River basin, Canada.





**FIGURE 9** Correlation between selected atmospheric circulation indices and day of year for snow cover disappearance (DSCD) at different basins in the Upper Irtysh. (a,b) Per pixel correlation maps for winter Arctic Oscillation (AO) and Spring Siberian High (SH), respectively. Pixels with non-significant correlations are left blank; (c,d) Plots of correlation vs. mean DSCD in each elevation band in the five basins for winter AO and spring SH, respectively. Solid symbols indicate significant correlation at the 95% confidence level

**TABLE 4** Significant correlations ( $p < .05$ ) between spring (March–April–May, MAM) temperature anomalies from weather stations, day of year (DOY) for peak snow cover depletion (DPSCD) and DOY for snow cover disappearance (DSCD)

Basin	Correlation MAM $T_a$ anomalies ( $^{\circ}$ C) – DPSCD			Correlation MAM $T_a$ anomalies ( $^{\circ}$ C) – DSCD		
	Altay	Fuyun	Baitag	Altay	Fuyun	Baitag
Bukhtarma	-0.68	-0.71	-0.58	-0.69	-0.70	-0.62
Kara Ertis	-0.79	-0.78	-0.71	-0.89	-0.90	-0.91
Kurchum	-0.57	-0.53	-0.62	-0.63	-0.63	-0.66
Narym	-0.61	-0.62	-0.58	-0.76	-0.76	-0.78
Uba	-0.34*	-0.34*	-0.34*	-0.49	-0.51	-0.48

Note: DPSCD and DSCD are average values in the same elevation band as weather stations, that is, 500–1,000 m a.s.l. for Altay and Fuyun and 1,000–1,500 m a.s.l. for Baitag. Asterisk (\*) indicates non-significant correlations.

In this study, the relationship between snow covered area and SWE cannot be assessed due to the lack of in situ observations in our study area (Mashtayeva et al., 2016). The regulated nature of the Irtysh River, that is, the presence of reservoirs and channels in China and Kazakhstan also causes dampening of peak flow and decreased runoff variability downstream (Huang, Wang, Wright, & Chen, 2016; Huang, Xia, Li, Guo, & Yang, 2012), preventing attempts at correlating snow covered depletion rates and timing and discharge.

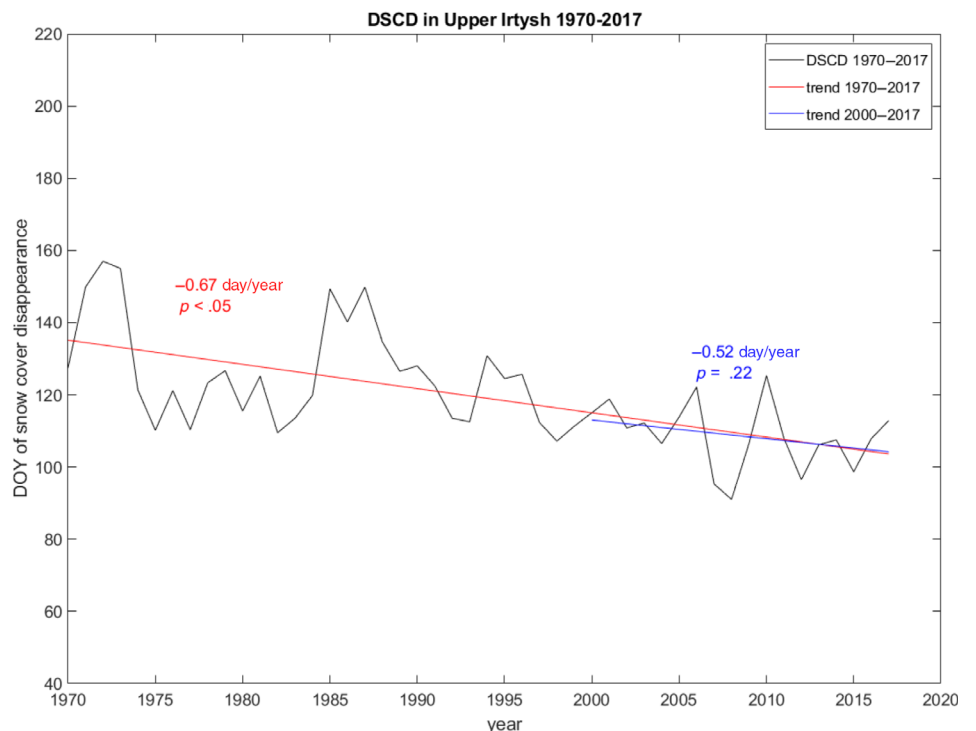
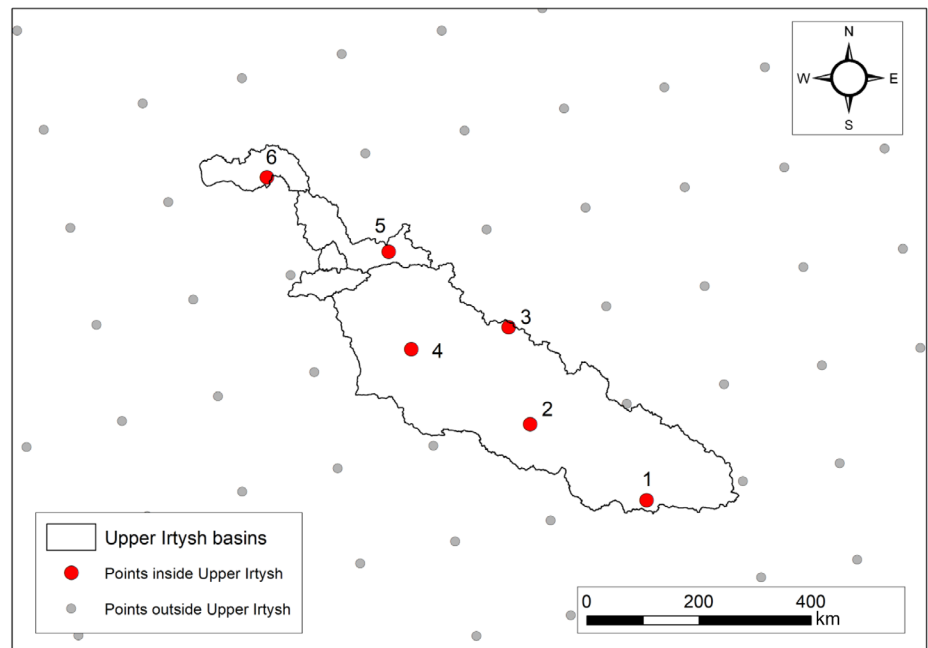
## 5.2 | Variability and trends in snow cover depletion

In this study, we found no significant trend but strong fluctuations in DSCD and DPSCD in all sub-basins of the Upper Irtysh between 2000 and 2017. Both variables appear strongly negatively associated with spring temperature anomalies at elevations below 1,500 m a.s.l. (see Table 4), which represent the largest contributing areas to runoff for

most basins (see Figure 2), with the highest correlation in the largest sub-basin of Kara Ertis. Above these altitudes, temperature variations are likely less relevant, and other topographic features such as aspect, shading, snow redistribution by avalanching or wind may dominate over the influences of regional climatic variability. Fluctuations in DSCD agree with the findings of Dietz et al. (2012) in their study of snow cover in central Asia using MODIS data, as well as with the analysis of the NOAA CDR snow cover extent (see Figure 10) with

matching years of relevant snow cover disappearance anomalies (e.g., 2008, 2010). High multiannual variability in Kazakhstan snow cover during the melting season was also found by Mashtayeva et al. (2016) although snow depth was the variable investigated.

Considering longer periods of observation based on the NOAA CDR snow cover extent, there is evidence of a shift to earlier snow cover disappearance occurring in the upper Irtysh basin (see Figure 10), with a significant negative trend of  $-0.67$  day/year in



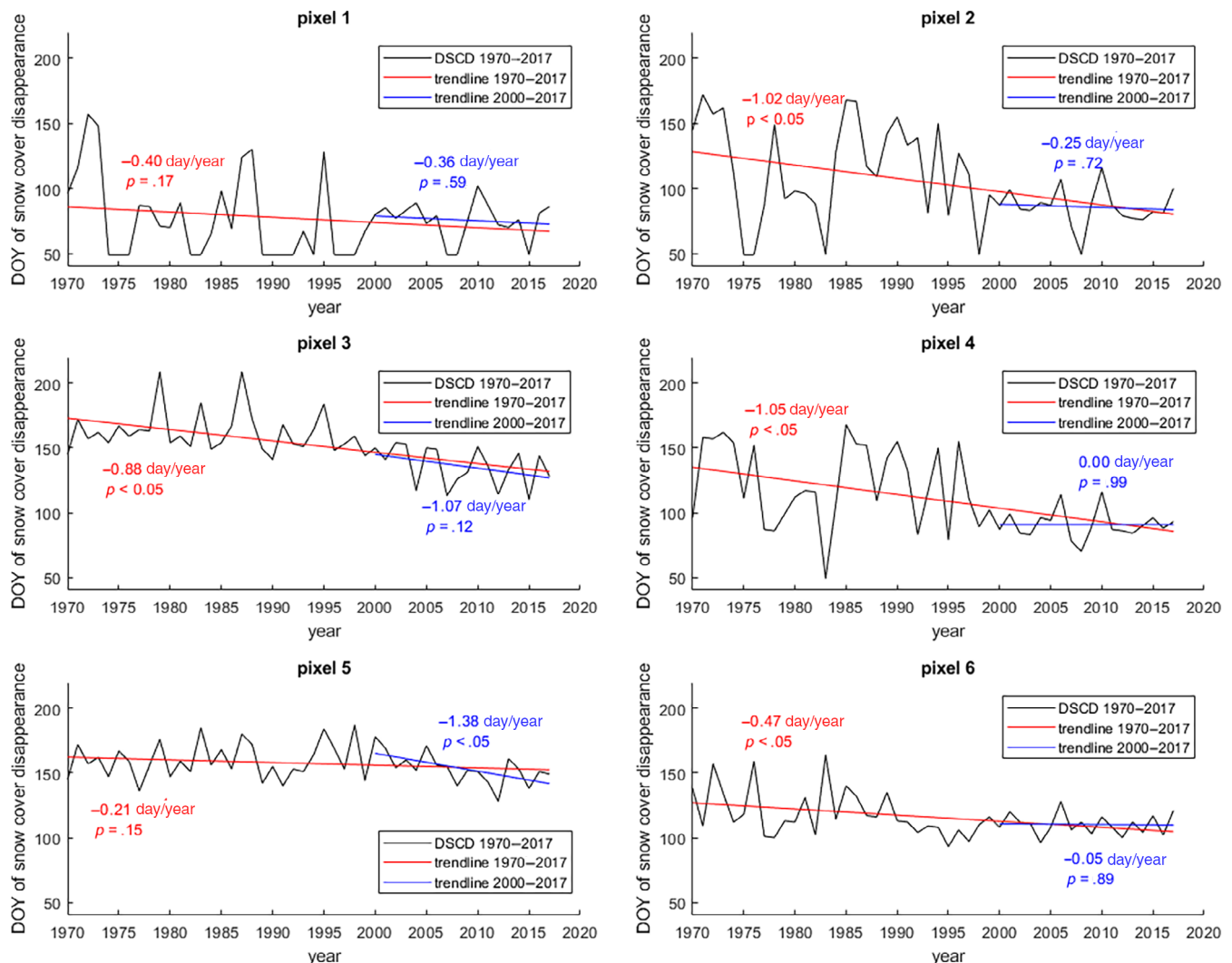
**FIGURE 10** Location of grid cells from the NOAA CDR Northern hemisphere snow cover data set within the study area (top) and snow cover disappearance in Upper Irtysh from 1970 to 2017 (average of six pixels, bottom). CDR, Climate Data Record; NOAA, National Oceanic and Atmospheric Administration

1970–2017 at the catchment scale. At the pixel scale, large negative trends (significant at the 95% confidence level) are seen especially in the low-altitude areas of Kara Ertis (Pixels 2 and 4 in Figure 11), with a magnitude up to  $-1.05$  day/year in 1970–2017. For these areas, larger snow cover variability is observed before 2000 (see Figure 11), which besides climate variability might reflect changes in the data set, particularly the introduction of multiple satellite sources in recent years (Estilow et al., 2015). Comparing to MODIS observations, however, only one pixel (Pixel 5, whose centroid lies in the Bukhtarma basin, but whose area mostly lies outside Upper Irtysh), shows a significant negative trend since 2000.

Similar studies have investigated snow cover and temperature trends in the Altay and Central Asia: Dai and Che (2014) found a significant decrease in late spring snow depth and snow cover duration ( $-1$  day/year) in the Chinese Altay between 1987 and 2011, while in the Ob basin, Yang, Robinson, Zhao, Estilow, and Ye (2003) identified an upward trend in spring snow cover between 1966 and 1999, caused by increased precipitation over Western Siberia. Long-term temperature records between

1966 and 2015 from southern Altay show warming trends in winter and spring, with the greatest rise in spring ( $1.15^{\circ}\text{C}$  per decade at Fuyun; Zhang et al., 2018). Warming in average annual temperatures was also reported by Hu, Zhang, Hu, and Tian (2014) using several reanalysis data sets between 1979 and 2011. If the warming trend continues as projected, a further shift towards earlier snow cover disappearance and peak snow cover depletion would be expected to occur in the Upper Irtysh and across Eurasia. Clearer indications of the existence of longer-term trends in snow cover depletion for the study area at the scale of individual basins might be investigated using AVHRR data available since the 1980s, albeit with lower spatial accuracy (Dietz et al., 2014).

In this study, peak snow cover depletion rates were not significantly correlated with the timing (DPSCD) in any sub-basin. PSCDR are also uncorrelated with the onset of melting conditions at weather stations, including rates and magnitudes of temperature increase at crossing of the  $0^{\circ}\text{C}$  isotherm. A significant correlation (0.50) was found between PSCDR and snow cover area on DOY 57 in late winter (see Table 1), which can represent a proxy for maximum SCA for a



**FIGURE 11** Snow cover disappearance for pixels in the NOAA CDR snow cover dataset located in Upper Irtysh from 1970 to 2017. The location of pixels is reported in Figure 10. CDR, Climate Data Record; NOAA, National Oceanic and Atmospheric Administration

given year. Late winter snow cover explains 25% of the variance in PSCDR, but it shows no significant trends between 2000 and 2017, thus it does not appear to be the cause of the observed increase in PSCDR (Figure 5), which remains unresolved. Among the sub-basins, Narym and Kurchum show the highest multi-annual variability; large peaks are also seen in Bukhtarma (2001 and 2010) and Uba (2000 and 2011) basins, with potential implications for local flood risks and water availability for reservoir operation.

### 5.3 | Atmospheric circulation indices and snow cover depletion

Our correlation analysis shows the AO and SH to be the circulation patterns most influential to patterns of snow cover depletion in the Upper Irtysh basin in winter and spring. The correlations between winter AO anomalies and DPSCD are significant below 1,500–2,000 m a.s.l.: notably, DPSCD shows higher correlation with the winter AO at higher elevation, while the opposite is true for the SH, and a similar inverse pattern is seen for DSCD.

Two winters stand out as highly anomalous in both the AO and SH record during the MODIS era: 2007–2008 (positive) and 2009–2010 (negative), with matching winter temperature anomalies and patterns of subsequent spring snow cover depletion (Figure 7). Winter 2009–2010 was in fact remarkably cold across Europe and central Asia. According to Cohen, Foster, Barlow, Saito, and Jones (2010), the highly negative AO was initiated by the rapid advance of Siberian snow cover in October, followed by the strengthening of the SH, increased upward wave activity flux, stratospheric warming and downward propagation of height and wind anomalies to the surface. The link between autumn snow cover and phases of the AO has been reported in several studies, with feedback mechanisms including soil moisture and the albedo (Bojariu & Gimeno, 2003; Cohen & Entekhabi, 1999; Saito & Cohen, 2003); patterns of decline in Arctic sea ice have been linked to the AO (Liu, Curry, Wang, Song, & Horton, 2012). Compared to 2009–2010, the winter of 2007–2008 received little attention, although unusually mild temperatures were reported across Eurasia, linked with the positive phase of the NAO/AO (Ilkka, Heikki, & Väinö, 2012), in addition to a La Niña event (Stevenson, 2016).

In our study, the winter AO is strongly negatively correlated with DSCD and DPSCD and appears to influence spring snow cover retreat through the modulation of spring temperature anomalies. The lagged influence of the AO on spring snow cover is also confirmed by analysis of the CDR Northern Hemisphere snow cover extent, as three pixels (i.e. all those located at the lower elevations of Kara Ertis, 1, 2 and 4 in Figure 10) attain significant correlation between DSCD and the AO, albeit with slightly lower values (–0.59 to –0.66). Significant correlation of –0.75 is also seen with average DSCD for the Irtysh basin.

Extending the analysis to 1990–2017 and 1980–2017, DSCD for two pixels (2 and 4, the western and central pixels in the Kara Ertis basin, see Figure 10) and average DSCD in Upper Irtysh maintain significant correlation with the AO although the strength of the relationship diminishes for earlier periods, with values of –0.53 (–0.38),

–0.45 (–0.34) and –0.70 (–0.58) from 1990 to 2017 (1980–2017). Several other studies have looked at correlation between this atmospheric index and snow cover from NOAA CDR snow cover over wider areas. Bamzai (2003) observed a correlation of 0.46 between January, February and March AO and AM snow cover melt date over Eurasia. Foster, Cohen, Robinson, and Estilow (2013) found that the winter AO is negatively correlated with spring snowmelt and DSCD at latitudes between 50° and 60°N, while the opposite is true between 60° and 70° N. In Saito and Cohen (2003), evidence for reciprocal lagged effects was found, that is, late winter/spring AO leading spring/summer snow and spring/summer snow leading the following fall/early winter AO. In a subsequent study, Saito, Yasunari, and Cohen (2004), found a consistent relationship between fall Eurasian snow cover and the winter AO between 1971 and 2000. During the 1980s, an association between winter AO and spring snow cover also emerged, as well as autocorrelation between winter and spring snow cover and winter/spring AO. This latter correlation was also reported by Foster et al. (2013) but found no confirmation in our study. Changes in the strength of the relationship between winter AO and spring snow cover might explain the apparent higher correlation we observe over recent years, although improvements of snow cover mapping in the NOAA CDR product, particularly after 1997 (Estilow et al., 2015) might also come into play. While our analysis did not include fall snow cover, its possible direct influence on winter AO and spring snow cover retreat is appealing for long-range forecasts and should be discussed in future research, both in the Upper Irtysh and other Eurasian catchments.

Compared to the NAO, the winter AO exhibits a stronger connection with both winter/spring temperature anomalies and DPSCR/DSCD. While the similarity/difference between the AO and the NAO and the actual physical meaning of the AO are still a matter of debate (Báez, Gimeno, Gómez-Gesteira, Ferri-Yáñez, & Real, 2013), our study confirms the hypothesis of a weaker (Saito & Cohen, 2003) and more local effect of the NAO on snow cover variability, restricted to Europe and western Asia (Clark et al., 1999). It has to be noted that the study area also lies at the borders of influence of these indices (see Figure 6) and that a different approach of calculating them might lead to slightly different results. The observed association between the spring SH, temperature anomalies and DPSCD, while confirming that the SH is indeed a relevant atmospheric influence on the climate of Kazakhstan (Panagiotopoulos et al., 2005), also show that it holds a limited potential in hydrological forecasting, as no relevant lagged correlations were found in our study. In spite of evidence that a positive SH reinforces negative AO anomalies (Cohen et al., 2010; Cohen & Entekhabi, 1999), the interplay between the AO and the SH is not entirely understood (Huang et al., 2016), and whether one index can be predicted from the other still needs to be assessed.

### 5.4 | MODIS and the AO in flood forecasting

High interannual variability in timing and rate of peak snow cover depletion appear to modulate flooding occurrence, intensity and



timing in eastern Kazakhstan. Specific flood reports for the study area are lacking, but figures from the Emergency Events Database (Guha-Sapir et al., 2018) and Global Active Archive of Large Flood Events (Dartmouth Flood Observatory, 2018) point to snowmelt-induced floods occurring throughout Kazakhstan in 2008, 2010, 2011, 2015 and 2017 (Figure 5). Comparison with peak PSCDR shows a general agreement, with the highest peak snowmelt rates in the 18-year series occurring in 2011 and 2017. In particular, severe flooding affecting the Uba basin in mid-April 2011 was reported on the official government news website (DixineWS, 2011), corresponding with the timing of the highest PSCDR in the Uba basin in the study period (Figures 4 and 5). However, the third highest PSCDR in 2006 has no correspondence in flooding, although this might be due to a bias towards better reporting in more recent years (both databases are based on local news). Broad agreement is also seen with respect to timing, with floods occurring relatively late in 2011, 2015 and 2017 (end of March –beginning of spring) and early in 2008 (20 February, Dartmouth Flood Observatory, 2018), corresponding with late and early values of DSCD (Figure 7). Flood occurrence in 2010, however, is reported as early as March, in spite of very late peak snow cover depletion found in our study; 2010 was a particularly cold winter with record snowfalls (Cohen et al., 2010), and temperature increase above the snow melting point at low elevations might have led to abundant snow melt and subsequent flooding.

In spite of this general agreement, quantitative assessment of the predictive ability of snow cover depletion maps based on MODIS and of the possible use of the AO in flood forecasting in the Upper Irtysh catchment is complicated by a lack of in situ observations in the study area. To improve the ground-based hydrological monitoring network in Kazakhstan, runoff should ideally be measured at stations, located in the smaller, non-transboundary basins upstream of Bukhtarma reservoir. In principle, the timing of flooding could also be investigated via remote sensing, by detecting flooded areas through optical (Revilla-Romero et al., 2015) or SAR (Brown, Hambidge, & Brownnett, 2016) satellite images, and algorithms have also been devised to estimate discharge from MODIS images based on the reflectance difference between water and land pixels in near infrared bands (Tarpanelli, Amarnath, Brocca, Massari, & Moramarco, 2017). Validation of these approaches in sparsely gauged catchments, however, remains a non-trivial task. Since our approach is based on readily available data, it could be automated as done in Gafurov et al. (2016) and more easily validated in areas where ground observations do exist, providing a means to evaluate MODIS DPSCD and PSCDR products with respect to water management strategies and possibly implement long-term forecasts based on the AO.

## 6 | CONCLUSIONS

In this study, we explored multi-annual (2000–2017) snow cover variability in the upper course of the Irtysh, a large transboundary river basin of Central Asia, which is significantly impacted by spring snowmelt floods. Given the scarcity of direct runoff observations in the

region, we analysed snow covered area as a means to understand the seasonal and inter-annual variability of snow hydrology, to provide valuable information in the context of flood forecasting. The analysis was carried out using 8-day MODIS snow cover composites, both within the five major tributary basins and the Upper Irtysh as a whole. Cloud filtered data were used to investigate: (a) the timing of snow cover disappearance, using the day of year when snow cover disappears from different 500-m elevation ranges within each basin (DSCD), (b) peak snow cover depletion, the day of year of maximum areal snow cover depletion in a basin (DPSCD) and (c) peak snow cover depletion rate in each basin in each year (PSCDR expressed in  $\text{km}^2/\text{day}$ ). In addition, we employed ERA-Interim reanalysis data, local weather stations and atmospheric circulation indices to investigate potential meteorological drivers of the observed snow cover depletion patterns and the NOAA CDR snow cover product to investigate long-term (1970–2017) snow cover variability.

The analysis of snow cover variability in the Upper Irtysh basin points to large inter-annual and inter-basin differences, for both DSCD and DPSCD, with the largest, low-lying basin showing the earliest dates and providing the largest snowmelt contribution to runoff. DPSCD generally occurs between mid-March and April, depending on the basin, while DSCD occurs later during spring. No clear trends over the 2000–2017 study period were identified in any basin for either variable, while analysis of long-term observations from the NOAA CDR snow cover extent suggests a shift to earlier snow cover depletion since the 1970s. In contrast, PSCDR, which peaked at over  $5,900 \text{ km}^2/\text{day}$  in 2017, displays a weak increasing trend for the Upper Irtysh basin over the same period. In view of their relevance for flood hazards, the existence of longer-term trends at the sub-basin scale, as well as the causes of the increase in PSCDR, should be addressed in further research.

Multi-year fluctuations in peak snow cover depletion and snow cover disappearance are controlled by spring temperature anomalies and regional atmospheric patterns detected by weather stations and reanalysis data in the Upper Irtysh basin. The atmospheric patterns that best correlate with temperatures and snow cover variables are the winter AO and spring SH indices. Among the two, the winter AO shows the strongest correlation with DPSCD, especially in the larger, low-lying basins ( $-0.71$ ), while lower correlations are seen in the smaller mountain basins, where topographic variability likely plays an important role.

The ability of the winter AO index to predict a relatively late or early timing of the spring peak snow cover depletion rate in parts of Eurasia makes it a potentially useful tool for long-term forecasts, and for use in early warning flood prevention schemes. However, the lack of empirical runoff data in the Upper Irtysh basin complicates validation of the feasibility and effectiveness of this approach. Despite the lack of validation, our approach is based on readily available data and can therefore be applied to similar catchments where snowmelt is the dominant source of runoff, providing meaningful insights into the interactions between large scale snow cover depletion patterns and streamflow, for informing water management strategies. A denser network of ground based observations or different remote sensing approaches could be employed to further assess the influence of the AO in modulating snow cover depletion at the catchment scale.

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## DATA AVAILABILITY STATEMENT

All data used in this study are freely available online. MOD10A2 is available from NSIDC at <https://nsidc.org/data/MOD10A2>; ERA-Interim fields from ECMWF at <https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era-interim>; Northern Hemisphere atmospheric indices from NOAA Climate Prediction Center at <https://www.cpc.ncep.noaa.gov/data/teledoc/telecontents.shtml>; NOAA Climate Data Record Northern Hemisphere snow cover extent from <https://climate.rutgers.edu/snowcover/docs.php?target=datareq>; ground-based weather observations from NOAA Global Historical Climatology Network at <https://www.ncdc.noaa.gov/data-access/land-based-station-data/land-based-datasets/global-historical-climatology-network-ghcn> and the ASTER GDEM Version 2 from NASA Earth data at <https://search.earthdata.nasa.gov/search/>.

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## SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of this article.

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